1. These Regulations may be cited as the Mines and Minerals (Application for Mining Rights) Regulations.

2. (1) A person may apply to the Minister for the following licences in the form set out in the First Schedule:

(a) Prospecting Licence;

(b) Mining Licence; and

(c) Large Scale Mining Licence.

(2) A person may apply to the Director for the following licences or permit, as the case may be, in the form set out in the First Schedule:

(a) Small Scale Mining Licence;

(b) Gemstone Licence; and

(c) Prospecting Permit.

3. A person may apply to the Authorised officer for an Artisan's Mining Right in the form set out in the Second Schedule.

4. A person may apply to the Director for a Gemstone Sales Certificate.
in the form set out in the Third Schedule.

5. The fees set out in the Fourth Schedule shall be paid for licences, permits, an artisan's mining right or for a gemstone sales certificate.
FIRST SCHEDULE

(Regulation 2)

Mines Form No. ...........
Stocked by Mines Development Department
Date and time of receipt: .......................................
................................................................................
Checked by: ........................................................

APPLICATION FORM FOR A MINING RIGHT

THE MINES AND MINERALS ACT, 1995
(PARTS III AND IV)

Type of licence
Type(s) of mineral(s)
Area ........................................ District ......................... Province
Period
A. PARTICULARS OF APPLICANT (other than company or association)
   (1) Full names of applicant
       (a) Surname ........................................ (Maiden Name)
       (b) Other names
       (c) Place and date of birth
   (2) Address of applicant
       (a) Residential address

........................................................................... Telephone No.
(b) Business address

........................................................................... Telephone No. ................. Fax No.
(3) Identity documents
   (a) NRC No. ........................................ Date/place of issue
(b) Passport No. .......................... Date/place of issue
(c) Nationality

B. PARTICULARS OF ORGANISATION (company/association)

(4) (a) Name of company
(b) Location and postal address of company/association

.......................... Telephone No. .......................... Fax No.
                      Telex No. .......................... E-mail No.
(c) Company Registration No.
(d) Address of registered office in Zambia

(e) Date of incorporation
(f) Nominal capital
(g) Paid up capital
(h) Full names of
Shareholders Shares held Nationality NRC/Passport No.
(i) .......................... .......................... .......................... ..........................
(ii) .......................... .......................... .......................... ..........................
(iii) .......................... .......................... .......................... ..........................
(iv) .......................... .......................... .......................... ..........................
(v) .......................... .......................... .......................... ..........................

(i) Full names of
Directors Nationality NRC/Passport No.
(i) .......................... .......................... ..........................
(ii) .......................... .......................... ..........................
(iii) .......................... .......................... ..........................
(iv) .......................... .......................... ..........................
(v) .......................... .......................... ..........................

(j) Full name and nationality of Chief Executive
(k) Full name and nationality of Company Secretary

C. PARTICULARS OF FINANCE

(5) Name and address of bankers

.............................................................  Telephone No.
Fax No. .....................................................  Telex No.

(6) Financial status

(a) Details of finance available for intended operations (with documentary evidence)

(b) Details of other assets

(7) Financial references (other than own bankers)
   (i)
   (ii)

(8) Name and address of lawyers

.............................................................  Telephone No.
Fax No. .....................................................  Telex No.

D. PARTICULARS OF TECHNICAL COMPETENCE AND EXPERIENCE

(9) Details relevant to prospecting/mining experience (with supporting documentary evidence)
(10) Details of previous mining rights held and reports submitted:

E. PARTICULARS OF OTHER STATUTORY REQUIREMENTS

(11) Details required for application for a prospecting licence:
   (a) Description of the area with a plan (map) in Scale 1:50 000 or 1:250 000
   (b) Programme of operations (annualized, with budget)

(12) Details required for application for a retention licence:
   (a) Feasibility Study
   (b) Environmental Impact Assessment Study.

(13) Details required for application for a large-scale mining licence:
   (a) Description of the area with a plan (map) in Scale 1:50 000 or 1:250 000
   (b) Technological reports (feasibility studies)
      (i) Geology (reserves, etc.)
      (ii) Mining and processing
   (c) Programme of mining operations
   (d) Environmental Plan.

(14) Details required for application for prospecting permit:
   (a) Description of the area with a plan (map) in Scale 1:50 000 or 1:250 000
   (b) Programme of operations.

(15) Details required for application for a small-scale mining licence:
   (a) Description of the area with a plan (map) in Scale 1:50 000 or 1:250 000
   (b) Programme of mining operations.

(16) Details required for application for a gemstone licence:
   (a) Description of the area with a plan (map) in Scale 1:50 000 or 1:250 000
   (b) Programme of mining operations including forecast for investment and estimated
       recovery rate of ore
       and gemstones.
NOTE: Any declaration in this application which is to the knowledge of the maker, false or untrue, shall render this application void ab initio.

I, do hereby declare that the information given above is true and correct and I make this declaration conscientiously believing the same to be true.

Signature
(In what capacity?)
Date:

NOTES:
1. Mining Right means a prospecting licence, retention licence, a large-scale mining licence, a prospecting permit, small-scale mining licence and gemstone licence granted under the provisions of Parts III and IV of the Mines and Minerals Act, 1995.
2. Prospecting licence means a licence granted under Part III of the Mines and Minerals Act, 1995. It empowers the holder to carry on prospecting operations in accordance with the programme of operations.
3. Retention licence means a licence granted under the provisions of Part III of the Mines and Minerals Act, 1995. It empowers the holder exclusive rights to retain and develop the deposit in accordance with the studies and assessments.
4. Large-scale Mining Licence means a licence acquired under the provisions of Part III of the Mines and Minerals Act, 1995. It empowers the holder to develop and mine mineral deposits covered by his licence in accordance with the programme of operations.
5. Prospecting Permit means a permit granted under the provisions of Part IV of the Mines and Minerals Act, 1995. It empowers the holder to carry on prospecting operations in accordance with the programme of operations.
6. Small-Scale Mining Licence means a licence acquired under the provisions of Part IV of the Mines and Minerals Act, 1995. It empowers the holder to develop and mine mineral deposits covered by his licence in accordance with the programme of operations.
7. Gemstone Licence means a licence acquired under Part IV of the Mines and Minerals Act, 1995. It empowers the holder to develop and mine mineral deposits covered by his licence in accordance with the programme of operations.

*Where space is not adequate additional information to be submitted separately.

For paragraphs D and E above the information to be submitted in accordance with the provisions of the following sections:
-13 (2) (b) and 14 (3); 19 (2) (a) and (b); 24 (3) (c), (d), (h) and (4); 30 (2) (b) and 31 (3); 35 (3) (a) and (d); and 41 (1) (a) and (c) of the Mines and Minerals Act, 1995.

Three copies to be submitted to: Director, Mines Development Department, P.O. Box
31969, Lusaka.

Application forms can be obtained from: Director, Mines Development Department, P.O. Box 31969, Lusaka.

Price: 100 fee units.
LARGE-SCALE MINING LICENCE

(Section 25 of the Mines and Minerals Act, 1995, No. 31 of 1995)

Applicant's name:
Address:
Prospecting Licence No.
The mining area shall be the area described in the Schedule and annexed hereto and bordered ................................., on the Plan.
The licence shall relate to the following minerals:

The licence is granted for a period of ......................, commencing on the day of
The programme of mining and development operations shall be as shown in the Appendix hereto.
The following conditions included in Prospecting Licence No. PL shall continue to apply:

Issued at ......................... this .............. day of

Director

Endorsement of Registration

This large-scale mining licence has this ......................... day of
................................. been registered in the Register of Mining Rights.

Director

<table>
<thead>
<tr>
<th>Date of Amendment</th>
<th>Details of Renewal or Amendment</th>
<th>Date of Registration and Registration No.</th>
<th>Signature of Director</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
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</tbody>
</table>
PROSPECTING LICENCE

(Section 14 of the Mines and Minerals Act, 1995, No. 31 of 1995)

Applicant's name:
Address:
The prospecting area shall be the area described in the Schedule annexed hereto and bordered ................., on the Plan.
The licence shall relate to the following minerals:

The licence is granted for a period of ............... commencing on the ......................... day of ..................

The programme of prospecting operations shall be as shown in the Appendix hereto.
In addition to the above conditions, the licence shall be subject to the following special conditions-
(here shall be inserted any conditions under section 14 (3) of the Mines and Minerals Act, 1995):

Issued at ....................... this ................ day of ....................,

Director

ENDORSEMENT OF REGISTRATION

This prospecting licence has this ................ day of ................, been registered in the Register of Mining Rights.

Director

RENEWALS AND AMENDMENTS

<table>
<thead>
<tr>
<th>Date of Amendment</th>
<th>Details of Renewal or Amendment</th>
<th>Date of Registration and Registration No.</th>
<th>Signature of Director</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
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</tbody>
</table>
SMALL-SCALE MINING LICENCE

(Applicant's name):
Address:
Prospecting Permit No. PP

The mining area shall be the area described in the Schedule and annexed hereto and bordered ......................, on the Plan.

The licence shall relate to the following minerals:

The licence is granted for a period of ......................, commencing on the day of

The programme of mining and development operations shall be as shown in the Appendix hereto.

The following conditions included in Prospecting Permit No. PP shall continue to apply:

Issued at .......................... this .............. day of

Director

ENDORSEMENT OF REGISTRATION

This small-scale mining licence has this ...................... day of ................., ........................................ been registered in the Register of Mining Rights.

Director

RENEWALS AND AMENDMENTS

<table>
<thead>
<tr>
<th>Date of Amendment</th>
<th>Details of Renewal or Amendment</th>
<th>Date of Registration and Registration No.</th>
<th>Signature of Director</th>
</tr>
</thead>
<tbody>
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</tbody>
</table>
Mines Form......................................
Stocked by Mines Development Department
Registration No. PP ............................

REPUBLIC OF ZAMBIA

PROSPECTING PERMIT

(Section 31 of the Mines and Minerals Act, 1995, No. 31 of 1995)

Applicant's name:
Address:
Prospecting Permit No. PP

The mining area shall be the area described in the Schedule and annexed hereto and bordered ................................., on the Plan.

The licence shall relate to the following minerals:

The permit is granted for a period of ......................, commencing on the day of

The programme of prospecting operations shall be as shown in the Appendix hereto.

In addition to the above conditions, the permit shall be subject to the following special conditions (here shall be inserted any conditions, imposed under subsection (3) of section 31 of the Mines and Minerals Act, 1995):

Issued at ......................... this .............. day of ......................,

ENDORSEMENT OF REGISTRATION
This prospecting permit has this ......................... day of
................................................. been registered in the Register of Mining Rights.

Director

RENEWALS AND AMENDMENTS

<table>
<thead>
<tr>
<th>Date of Amendment</th>
<th>Details of Renewal or Amendment</th>
<th>Date of Registration and Registration No.</th>
<th>Signature of Director</th>
</tr>
</thead>
</table>
Mines Form.................................
Stocked by Mines Development Department
Gemstone Sales Certificate GSC ..............

REPUBLIC OF ZAMBIA

GEMSTONE SALES CERTIFICATE

(Section 99 of the Mines and Minerals Act, 1995, No. 31 of 1995)
(PART XIII)

Applicant's name:
Address:
The certificate is for a period of one year commencing on the     day
.............................................,
This certificate is subject to the following conditions:

Issued at ........................... this ............ day of ......................,

...............  


Director
REPUBLIC OF ZAMBIA

RETENTION LICENCE

(Section 20 of the Mines and Minerals Act, 1995, No. 31 of 1995)

Applicant's name:
Address:
Prospecting Licence No. PL

The mining area shall be the area described in the Schedule and annexed hereto and bordered ........................... on the Plan.

The licence shall relate to the following minerals:

The licence is granted for a period of ..................., commencing on the day of ..............................,

A feasibility study on the market conditions and trends and economic factors as well as the environmental plan for the recovery shall be as shown in the appendix hereto.

In addition to the above conditions, the licence shall be subject to the following special conditions-(here shall be inserted any conditions, imposed under subsection (2) of section (20) of the Mines and Minerals Act, 1995):

Issued at ........................... this .............. day of ..............................,

Director

ENDORSEMENT OF REGISTRATION

This Retention licence has this ........................... day of .............................., been registered in the Register of Mining Rights.

Director

RENEWALS AND AMENDMENTS
<table>
<thead>
<tr>
<th>Date of Amendment</th>
<th>Details of Renewal or Amendment</th>
<th>Date of Registration and Registration No.</th>
<th>Signature of Director</th>
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</tbody>
</table>
ARTISAN'S MINING RIGHT

Applicant's name:
Address:

The artisan's mining right area shall be the area described in the Schedule and annexed hereeto and bordered ................................., on the Plan.

The artisan's mining right shall relate to the minerals: ..........................................................

The artisan's mining right is granted for a period of two (2) years commencing on the .................................................... day of ....................................................,

Issued at .................................. this .............. day of .......................

Authorised Officer

This artisan's mining right has this ......................... day of ....................,
been registered in the Register of Mining Rights.

Authorised Officer
Mines Form........................................
Stocked by Mines Development Department
Registration No. GL .........................

REPUBLIC OF ZAMBIA

GEMSTONE LICENCE

(Section 42 of the Mines and Minerals Act, 1995, Act No. 31 of 1995)

Applicant's name:
Address:

The prospecting and mining area shall be the area described in the Schedule and annexed
hereto and bordered
on the Plan.

The licence shall relate to the following minerals:

The licence is granted for a period of , commencing on
the ................................. day of

The programme of prospecting and mining operations shall be as shown in Appendix
hereto.

In addition to the above conditions, the licence shall be subject to the following special
conditions-(here shall be inserted any conditions imposed under subsection (2) of section
42 of the Mines and Minerals Act, 1995):

Issued at ........................... this ............. day of .............................,

ENDORSEMENT OF REGISTRATION

This gemstone licence has this .................... day of ...................., been
registered in the Register of Mining Rights.

Director

AMENDMENTS

Date of
<table>
<thead>
<tr>
<th>Date of Amendment</th>
<th>Details of Amendment</th>
<th>Registration and Registration No.</th>
<th>Signature of Director</th>
</tr>
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</table>

*(As amended by SI Nos. 144 of 1996 and 157 of 1996)*
SECOND SCHEDULE

(Regulation 3)

Mines Form........................................
Stocked by Mines Development Department
Date and time of receipt: ..........................

Checked by: ............................................

APPLICATION FORM FOR AN ARTISAN'S MINING RIGHT

THE MINES AND MINERALS ACT, 1995
(PARTS VII)

I. DETAILS OF APPLICANT (complete A or B, whichever is applicable)
   A. Application by an individual
      Name
      Chief ............................................................. District
      Postal address
      Residential address
      Telephone No. ............................................. Fax No.
      Citizenship ............................................... NRC/Passport No.
   B. Application by a community
      (i) Where community is not a co-operative:
      Name of representative
      Name of village
      Chief ............................................................. District
      Postal address
      Name of members ................................................. NRC No.  Residential address
      ........................................................................
      ........................................................................
      ........................................................................
      ........................................................................
      ........................................................................
      ........................................................................
      ........................................................................
      ........................................................................
      ........................................................................
      ........................................................................
      ........................................................................
      ........................................................................
      ........................................................................
      ........................................................................
(ii) Where community is a co-operative:

(a) Name and address of the co-operative
.......................................... Telephone No.

(b) Registration Certificate No. ....................................... Fax No.

(c) Date and place of registration

(d) Share capital (nominal and paid-up)

(e) Name of village (Place of mining activities)

(e) Chief ..................................................... District

(f) Full names of

<table>
<thead>
<tr>
<th>Members</th>
<th>NRC No./Passport No.</th>
<th>Residential address</th>
</tr>
</thead>
<tbody>
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<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

(g) Full names of Chairman

(h) Full names of secretary

II. PARTICULARS OF APPLICATION

(a) Area required Hectares (not exceeding five Hectares)

(b) Location of area

(c) Mineral(s)

III. BRIEF TECHNOLOGICAL INFORMATION ON MINING AND PROCESSING

(if space not enough, use separate sheet )

IV. SKETCH PLAN

Show the following details on the plan:

(a) The dimensions of the area

(b) The position of any area in the vicinity already held under a mining right

(c) The direction and distance of the area from any feature which can be identified on maps, e.g. roads, river, beacon, river or road junctions, etc.

I, do hereby declare that the information given above is true and correct and I make this declaration conscientiously believing the same to be true.
Signature
(In what capacity?)
Date:

NOTES:
Artisan's Mining Rights means an artisan's mining right granted under the provisions of Part VII of the Mines and Minerals (Amendment) Act, 1995. It empowers the holder of the mining right to develop and mine mineral deposits covered by his mining right.

Three copies to be submitted to: Director, Mines Development Department,
P.O. Box 31969, Lusaka.

Application forms can be obtained from: Director, Mines Development Department,
P.O. Box 31969, Lusaka.

Price: 100 fee units.
THIRD SCHEDULE

(Regulation 4)

Mines Form.................................
Stocked by Mines Development Department
Date and time of receipt: .........................

Checked by: ..........................................

APPLICATION FORM FOR A GEMSTONE SALES CERTIFICATE

THE MINES AND MINERALS ACT, 1995
(PART XIII)

Type(s) of gemstones:

A. PARTICULARS OF APPLICANT (other than company or association)
   (1) Full names of applicant
       (a) Surname .................................. (maiden name)
       (b) Other names
       (c) Place and date of birth.
   (2) Address of applicant
       (a) Residential address
           .............................................. Telephone No.
       (b) Business address
           .............................................. Telephone No.

   ................. Telephone No. ......................... Fax No.

   (3) Identity documents
       (a) NRC No. ................................. Date/place of issue

       (b) Passport No. ............................ Date/place of issue

       (c) Nationality

   (4) Situation of registered office.

B. PARTICULARS OF ORGANISATION (company/association)
(4) (a) Name of company
   (b) Location and postal address of company/association

   Telephone No. .................... Fax No. .................... Telex No.
   E-mail No.

   (c) Company Registration No.
   (d) Address of registered office in Zambia
   (e) Date of incorporation
   (f) Nominal capital
   (g) Paid up capital
   (h) Full names of shareholders
       Shares held  Nationality  NRC/Passport No.

       (i) ........................................... .................................         .......................................           ...................
       (ii) ........................................... .................................         .......................................           ...................
       (iii) ........................................... .................................         .......................................           ...................
       (iv) ........................................... .................................         .......................................           ...................
       (v) ........................................... .................................         .......................................           ...................

   (i) Full names of directors
       Nationality  NRC/Passport No.

       (i) ..................................................      ..................................................................      .......................................
       (ii) .................................................      ..................................................................      .......................................
       (iii) ..................................................      ..................................................................      ......................................
       (iv) .................................................      ..................................................................      .......................................
       (v) ..................................................      ..................................................................      .......................................

   (j) Full name and nationality of Chief Executive

   (k) Full name and nationality of Company Secretary

   (l) Situation of registered office

C. PARTICULARS OF FINANCE

(5) Name and address of bankers

   .................................................. Telephone No. .................... Fax No.
   Telex No. ..................................................

(6) Financial status
   (a) Details of finance available for intended operations (with documentary evidence)
(b) Details of other assets

(7) Financial references (other than own bankers)
   (i)
   (ii)

(8) Name and address of lawyers

........................................ Telephone No. ....................... Fax No.
Telex No. .............................................................

NOTE: Any declaration in this application which is to the knowledge of the maker, false or
untrue, shall render this application void ab initio.

I, do hereby declare that the information
given above is true and correct and I make this declaration conscientiously believing the
same to be true.

Signature
(In what capacity?)
Date:

NOTES:
The gemstone sales certificate granted under the provisions of Part XIII of the Mines and
Minerals Act, 1995, empowers the holder to carry on business of trading in gemstones.
Three copies to be submitted to: Director, Mines Development Department, P.O. Box
31969, Lusaka.
Application forms can be obtained from: Director, Mines Development Department, P.O.
Box 31969, Lusaka.
Price: 100 fee units.
FOURTH SCHEDULE

(Regulation 5)

<table>
<thead>
<tr>
<th>Type of licence</th>
<th>Fee units</th>
</tr>
</thead>
<tbody>
<tr>
<td>LARGE SCALE MINING OPERATIONS</td>
<td></td>
</tr>
</tbody>
</table>

1. Prospecting licence
   (a) basic licence fee , 500
   (b) annual area charges per square kilometre , 10

2. Retention licence
   (a) basic licence fee 1,000
   (b) annual area charges per square kilometre , 100

3. Mining licence
   (a) basic licence fee 1,000
   (b) annual area charges per square kilometre , 200

SMALL SCALE MINING OPERATIONS

4. Prospecting permit
   (a) basic licence fee , 250
   (b) annual area charges per hectare , 5

5. Small scale mining licence
   (a) basic licence fee , 250
   (b) annual area charges per hectare , 10
   (c) tonnage fees for building materials , 2
   (d) annual rent on any mineral other than building material sold, percentum of mineral sold , 5

6. Gemstone licence
   (a) basic licence fee , 250
   (b) annual rent and deemed turnover shall be as set out in the table below-

<table>
<thead>
<tr>
<th>Year of duration of Right</th>
<th>Rent per hectare (fee units)</th>
<th>Deemed turnover per hectare (fee units)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Year 1</td>
<td>nil</td>
<td>nil</td>
</tr>
</tbody>
</table>
7. **Artisan's mining rights**
   
   (a) basic licence fee, 100
   
   (b) annual area charges per hectare, 100
   
   (c) tonnage fees for building materials, 2

8. **Reconnaissance Permit**, 250

9. **Gemstones Sales Certificate** 1,000

10. **Gold Dealer's Licence** 1,000

11. (a) survey charges per kilometer, 100

   (b) other related charges for transport, subsistence, etc., shall be determined by the Director in accordance with the scale approved from time to time by the Government

---

**CHAPTER 214**

MINERAL ROYALTY TAX (REPEAL)

An Act to repeal the Mineral Royalty Act

[18th April, 1997]

1. (1) This Act may be cited as the Mineral Royalty (Repeal) Act, 1997, and shall come into operation on 1st April, 1997.

2. The Mineral Royalty Act is hereby repealed.

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**CHAPTER 216**

THE MEDICAL EXAMINATION OF YOUNG PERSONS (UNDERGROUND WORK) ACT

ARRANGEMENT OF SECTIONS