

# Management Regulations for the Import and Export of Industrial Waste

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Revisions to all 30 articles promulgated by Environmental Protection Administration Order (86) Huan-Shu-Fei-Tzu No. 49010 on August 13, 1997.

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Revisions to Article 4, Article 6, Article 8, Article 11, Article 13, Article 24, Article 25, and Article 28, addition of Article 26-1, and deletion of Article 26 promulgated by Environmental Protection Administration Order Huan-Shu-Fei-Tzu No. 0940000001 on January 5, 2005.

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## Chapter 1 General Principles

Article 1 These Regulations are determined pursuant to Paragraphs 2 and 4, Article 38 of the Waste Disposal Act (herein referred to as this Act).

Article 2 Terms used in these regulations are defined as follows:

- I. Import means the act of importing waste into the Republic of China from another country, but does not include transshipment.
- II. Export means the act of exporting waste from the Republic of China to another country that has been declared to the customs authority or cleared thereby.
- III. Transit means the shipment of waste from another country via transport vehicle to a third country and that the transport vehicle when passing through an open port of the Republic of China does not unload its cargo and that said cargo is carried by the same transport vehicle out of the territory of the Republic of China.
- IV. Transshipment means the shipment of waste from another country via transport vehicle to a third country and that the transport vehicle when passing through an open port of the Republic of China must unload its cargo and that said cargo is transferred to another transport vehicle that leaves the territory of the Republic of China by way of the same port.
- V. Hazardous waste means hazardous industrial waste, harmful waste regulated by the Basel Convention, and other waste legally recognized by the exporting, receiving, or transit country as hazardous waste.

Article 3 Waste may be imported, exported, transited, or transshipped after applying for and receiving a permit pursuant to these Regulations. However, the import of waste types officially announced by the central competent authority pursuant to Paragraph 3, Article 38 of this Act shall be prohibited.

The waste name shall be truthfully declared on customs forms in accordance with the types as stipulated by the central competent authority when

implementing cargo import and export clearance procedures for the import and export of waste.

Bonded warehouses, bonded factories, and enterprises in logistics centers, export processing zones, science parks, agricultural technology parks, and free trade ports shall import (including for storage) and export waste as prescribed in Paragraph 1. However, these regulations are not applicable to the transport of waste between domestic taxation zones or bonded areas when no import or export has occurred.

The import or export of general garbage from industrial, non-industrial and domestic sources and their incinerated ash is prohibited.

Article 4 A Grade A waste clearance organization or an organization that has obtained a recycling permit from the central industry competent authority shall first apply to the special municipality, county, or city competent authority for the import of hazardous waste. After being referred to the central competent authority and receiving approval thereof, the special municipality, county, or city competent authority shall issue permit documents before such waste may be loaded on ship and imported from the exporting country.

Applicants shall submit the following documents in connection with the application in the foregoing paragraph:

- I. Cargo import letter of consent application form.
- II. Documentation approving the export of hazardous waste submitted by the competent authority of the exporting country or verification documents on exports not subject to controls.
- III. Verification permits for Grade A waste clearance organizations, verification documents for recycling organizations, and the verification documents of the factory registration for the enterprise.
- IV. Descriptive information of the source and the confirmation of the characteristics of the waste.
- V. A waste test report issued within one year by an environmental analysis laboratory approved by government of exporting country. If the name and category of the intended import waste can be clearly identified from its appearance and state, and its nature is stable, the requirement for test report shall be exempted. The waste test report shall include the following documents:
  - A. An analytical test report stating the main components.
  - B. An analytical test report stating the hazardous components or a toxic substance leaching quantity test report.
- VI. Expected batch-by-batch waste shipment and import dates, domestic transport routes, and description of storage disposal site and disposal methods.
- VII. Emergency response and pollution control measures to be taken in the domestic transport process.
- VIII. A transport contract and return export plan if the items must be returned

for some reason.

- IX. Proof of financial guarantee or liability insurance for transport and disposal expenses when waste is returned to an overseas exporter or authorization of disposal is needed.
- X. Affidavit (See Appendix 1).
- XI. Other documents designated by the competent authority.

The number of categories of waste allowed in the application case of Paragraph 1 shall not exceed ten categories, and those who intend to apply for more than ten categories shall apply for a separate case.

Article 5 A waste clearance organization or an organization that has obtained a recycling permit from the central industry competent authority shall first submit an application to the special municipality, county, or city competent authority application for the import of general industrial waste. Such waste may be imported only after receiving approval and the relevant permit documents. The import of general industrial waste mentioned in the foregoing paragraph produced from ship bodies or the loading and unloading of port goods shall not be subject to these regulations. Applicants shall submit the following documents in connection with the application prescribed in Paragraph 1:

- I. Cargo import letter of consent application form.
- II. Verification permits for waste clearance organizations, verification documents for recycling organizations, and the verification documents of the factory registration for the enterprise.
- III. A test report analyzing main components of waste issued within three years by an environmental analysis laboratory certified by government of exporting country. If the name and category of the intended import waste can be clearly identified from its appearance and state, and its nature is stable, the requirement for test report shall be exempted.
- IV. Documents listed in Subparagraphs 4 and 6 through 11, Paragraph 2 of the foregoing article.

The number of categories of waste allowed in the application case of Paragraph 1 shall not exceed ten categories, and those who intend to apply for more than ten categories shall apply for a separate case.

Article 6 When a party has applied to import waste, the competent authority may not issue permit documents when one of the following situations apply.

- I. In those circumstances in the past in which severe threats to human health or the environment have occurred during the process of recycling, clearance and disposal when the applicant imported waste.
- II. In those circumstances in which the applicant has been apprehended for illegally importing general industrial waste on five or more occasions, hazardous mixed hardware waste on three or more occasions, or other hazardous waste on one occasion within the most recent five years.
- III. In those circumstances in which the applicant's import permit documents

have been revoked within the most recent two years.

- IV. In those circumstances in which the applicant has lent its import permit documents to another party.
- V. In those circumstances in which the applicant has willfully transferred imported waste to other parties without having received the consent of the competent authority.
- VI. In those circumstances in the past in which the applicant has not yet returned imported waste that has been refused.
- VII. Other circumstances officially announced by the competent authority.

When the waste in question is no longer designated hazardous following the official announcement of the revised Standards for Defining Hazardous Industrial Waste on July 4, 2007, the calculation of occasions of the act of illegally importing hazardous waste in Subparagraph 2 of the foregoing paragraph shall be changed to the illegal import of general industrial waste.

Article 7 Import permit documents issued in accordance with these Regulations shall record the following items:

- I. Permit document number.
- II. Waste name and code.
- III. Name and address of importer.
- IV. Name and address of statutory responsible person.
- V. Exporting nation and exporter.
- VI. Permitted import quantity.
- VII. Date of permit document issuance and period of validity.
- VIII. Other items designated by the central competent authority.

If the items in Subparagraph 3 or 4 of the foregoing paragraph change, the permit-holder shall apply for change of registration within 30 days after the occurrence of the change. If any of the other recorded items change, the permit holder shall reapply for permit documents in accordance with these Regulations.

The term of import permit shall be one year in the case of first-time applicants, and three years in the case of reapplicants in Paragraph 1, with exceptions specified below whereof the term of import permit may be shortened:

- I. The period of validity of the export permission document issued by the exporting country is insufficient.
- II. The liability guarantee period as stated by the financial guarantee or liability insurance covering the expense of transport and disposal when waste must be returned or disposed of under commission for some reason is insufficient.
- III. The period of any contracts among the documents to be submitted is insufficient.
- IV. The competent authority requires a shortened permission period due to management requirements.

- Article 8 Three days before permitted imported waste reaches an open port of the Republic of China, the importer shall report in writing or online the open port of import, the date of arrival, and the expected date of delivery, method of transport, waste name, quantity, and storage and disposal sites to the special municipality, county, or city competent authority for storage and disposal facility sites. Upon receiving a report of waste to be imported, the special municipality, county, or city competent authority shall immediately carry out relevant control procedures.
- Article 9 For the transport of permitted imported waste within the borders of the Republic of China, the importer shall perform online reporting of the status of waste import pursuant to Subparagraph 2, Paragraph 1, Article 31 of this Act. After processing of the imported waste is completed, the importer shall notify the special municipality, county, or city competent authority for storage and disposal sites, send a copy of the notification to the central competent authority, and notify the competent authority of the exporting country in accordance with the original export regulations and methods of the said country.
- Article 10 When there is a failure to apply for a permit in accordance with these Regulations or an unauthorized import of hazardous waste or general industrial waste, or when waste that been approved for import has reached an open port of the Republic of China, but for some reason cannot be imported or has not been claimed, the recipient, holder of the cargo, or the carrier shall re-export such waste within 30 days of receiving notification. When the waste in the foregoing paragraph has not been released from customs clearance, the customs authority shall provide notification to return the waste within a prescribed period. When the waste has been released from customs clearance, the special municipality, county, city competent authority of the location where the waste was received shall provide notification to return the waste within a prescribed period.

### **Chapter 3 Export**

- Article 11 A waste source enterprise, a Grade A waste clearance organization that produces hazardous waste shall apply with the special municipality, county, or city competent authority for the export of such waste. After being referred to the central competent authority and receiving the approval thereof, the special municipality, county, or city competent authority shall issue permit documents before such waste may be processed through customs and exported. Applicants shall submit the following documents in connection with the application in the foregoing paragraph:
- I. Cargo export letter of consent application form.
  - II. Documentation approving the import of hazardous waste submitted by the competent authority of the receiving country or verification documents for imports not subject to controls.
  - III. Verification documents for company or commercial registration of the disposal organization authorized by the government of the receiving country and relevant permit documents for waste disposal or pollution

control.

- IV. Verification documents for company or commercial registration of the applying exporter, verification documents for factory registration, operations license for medical organizations, permits for Grade A waste clearance organizations.
- V. Descriptive information of the source and the confirmation of the characteristics of the waste.
- VI. A waste return acceptance guarantee from the commissioned clearance and disposal enterprise.
- VII. A document specifying the main components of waste, and a hazardous contents analysis report or a toxic substance leaching test report issued within one year by an environmental analysis laboratory approved by central competent authority. If the name and category of the intended export waste can be clearly identified from its appearance and state, and its nature is stable, the requirement for test report shall be exempted.
- VIII. Statement of transport process from the Republic of China to the receiving country, and the waste clearance methods used by the disposal organization of the receiving country.
- IX. A transport contract and reshipment import plan if the items must be reshipped for import for some reason.
- X. When the reshipment of imports is required for some reason, proof of financial guarantee or liability insurance are needed for disposal and transport expenses.
- XI. Emergency response and pollution control measures to be taken during shipment and reshipment of imports.
- XII. Contract documents for agreement on disposal of waste as determined by exporter submitting application and disposal organization of the receiving country.
- XIII. A report concerning disposal ability and operating status from personnel sent by the applicant to visit the disposal plant (site) in the receiving country in person during the most recent five years, and an affidavit guaranteeing that report content is truthful.
- XIV. Affidavit (See Appendix 1).
- XV. Other documents designated by the competent authority.

The number of categories of waste allowed in the application case of Paragraph 1 shall not exceed ten categories, and those who intend to apply for more than ten categories shall apply for a separate case.

Article 12 A waste source enterprise or a waste clearance organization that produces general waste shall apply with the special municipality, county, or city competent authority for the export of such waste. After receiving approval and permit documents, such waste may be processed through customs and then exported.

Applicants shall submit the following documents in connection with the

application in the foregoing paragraph:

- I. Cargo export letter of consent application form.
- II. Approval documents from the government of the receiving country for the import of general industrial waste or documents that verify such waste is not subject to controls.
- III. Verification documents for company or commercial registration of applying exporter, verification documents for factory registration, operations license for medical organizations, or permits for waste clearance-organizations.
- IV. A document specifying main components of waste. If the name and category of the intended export waste can be clearly identified from its appearance and state, and its nature is stable, the requirement for test report shall be exempted.
- V. Documents listed in Subparagraphs 3, 5, 6, 8 through 12, 14, and 15, Paragraph 2 of the foregoing article.

The number of categories of waste allowed in the application case of Paragraph 1 shall not exceed ten categories, and those who intend to apply for more than ten categories shall apply for a separate case.

#### Article 13

The application in the foregoing two articles shall be limited to applications for export to a single receiving country and a single disposal organization in that country. Separate applications shall be submitted if it is desired to apply for export to two or more receiving countries or disposal organizations.

A waste export license application shall constitute a first-time application when any one of the following circumstances applies:

- I. The applicant has never previously obtained export permit documents.
- II. The applicant has previously obtained export permit documents, but this is the first time the applicant has applied for the export waste type or receiving country or disposal organization in question.

The export quantity is limited to 300 tons for each individual application case specified in previous paragraph. If the application meets at least one of the following requirements and approved by central competent authority, the limitation is exempted:

- I. Transported and exported to a country in the Organization for Economic Co-operation and Development using a dedicated ship.
- II. Exports to a country that has signed a bilateral agreement on waste with the Republic of China.

After the completion of disposal in the foreign the country, the export application in Paragraph 2 shall be reported online pursuant to regulations. When reapplying for export, a written report of proper disposal during the first export shall be attached.

The content of proper disposal report specified in previous paragraph shall include basic information of the applicant and the disposer, and the type, the usage, the flow destination, the disposal method, photos and related

- supporting information of recycled products or derivative waste after disposal.
- Article 14 The competent authority may not issue permit documents to waste export applicants when one of the following situations applies:
- I. A government of the receiving country has sent notification in the past indicating that the applicant has failed to properly dispose of exported waste.
  - II. The applicant has been apprehended for illegally exporting general industrial waste on five or more occasions, hazardous mixed hardware waste on three or more occasions, or other hazardous waste on one occasion within the most recent five years.
  - III. The applicant's export permit document has been revoked during the most recent two years.
  - IV. The holder has lent export permit documents to another party.
  - V. The applicant has exported waste that be reshipped for import but has failed to do so.
  - VI. Other circumstances officially announced by the competent authority.
- When the waste in question is no longer designated hazardous following the official announcement of the revised Standards for Defining Hazardous Industrial Waste on July 4, 2007, the calculation of occasions of the act of illegally exporting hazardous waste in Subparagraph 2 of the foregoing paragraph shall be changed to the illegal export of general industrial waste.
- Article 15 Permit documents for export or transfer of shipment issued in accordance with these Regulations shall record the following items:
- I. Permit document number.
  - II. Waste name and code.
  - III. Name and address of exporter.
  - IV. Name and address of statutory responsible person.
  - V. Receiving country and disposal organization.
  - VI. Quantity permitted for export or transfer of shipment.
  - VII. Date of permit document issuance and period of validity.
  - VIII. Other items designated by the central competent authority.
- If the items in Subparagraph 3 or 4 of the foregoing paragraph change, the permit-holder shall apply for change of registration within 30 days after the occurrence of the change. If any of the other recorded items change, the permit holder shall reapply for permit documents in accordance with these Regulations.
- The term of export permit shall be one year in the case of first-time applicants, and three years in the case of reapplicants in Paragraph 1, with exceptions specified below whereof the term of export permit may be shortened:
- I. The period of validity of the import permission document issued by the receiving country is shorter.
  - II. The liability guarantee period as stated by the financial guarantee or



liability insurance covering the expense of transport and disposal when waste must be reshipped for import for some reason is shorter.

III. The period of any contracts among the documents to be submitted is shorter.

IV. The competent authority requires a shortened permission period due to management requirements.

Article 16 After receiving permission to transport exported waste within and outside the borders of the Republic of China, the exporter and the disposal organization in the receiving country shall report the export, acceptance, and disposal of the waste via online transmission pursuant to Subparagraph 2, Paragraph 1, Article 31 of this Act.

Article 17 When waste permitted for export in accordance with these Regulations is refused by the government of the receiving country, the original exporter shall apply to the special municipality, county, or city competent authority for an issuance of reshipment import permit documents and send a copy of the report to the central competent authority within 7 days from the day of notification from the government of said country or the competent authority in the Republic of China. Reshipment of imports to be conducted according to the attached reshipment import plan in the original application form may not be refused by the relevant organizations. However, the original exporter, if able to obtain documents from a third country that agrees to accept such waste for disposal and has the ability to properly dispose of such waste, may, after receiving approval and the relevant permit documents from the special municipality, county, or city competent authority, transfer the shipment to that third country for disposal. The exporter shall complete the transfer by the deadline approved by the said authority; the prior consent of the central competent authority is required in the case of hazardous waste.

Applicants shall submit the following documents in connection with the application for the reshipment of imports in the foregoing paragraph:

- I. Application form of cargo import letter of consent.
- II. Notification of refusing the waste from the government of receiving country.
- III. A copy of the export goods declaration form for customs clearance.
- IV. Reshipment import plan.
- V. Other documents designated by the competent authority.

Applicants shall fill out an application form for a transfer of shipment and submit the following documents in relation to the application in Paragraph 1:

- I. Documents of notification from the government of the receiving country refusing the waste.
- II. Original export permit documents issued by the competent authority and original copy of the export goods declaration form for customs clearance.
- III. Import approval documents or agreements verifying import of the waste is not issued by the competent authority of the third country, and written

agreements from a disposal organization authorized by the government of the third country agreeing to dispose of the waste.

- IV. Explanation of the exported waste and disposal liability of the Republic of China with regards to the relevant pollutant characteristics of the waste, and an analytical test report on its components.
- V. Statement of disposal methods from the disposal organization of the third country.
- VI. Statement of packaging, transport, and disposal methods in the transfer-of-shipment process.
- VII. Other documents designated by the competent authority.

The deadline for the reshipment of import or transshipment of waste in Paragraph 1 may not exceed 90 days.

#### Article 18

When exported waste that has failed to comply with these Regulations has been refused by the receiving country, besides being fined by the relevant organizations pursuant to this Act, the original exporter, or waste clearance organizations and waste disposal organizations entrusted by the original exporter shall, within 7 days from receiving notification from the government of the receiving country or the competent authority of the Republic of China, apply for the issuance of reshipment of imports with the special municipality, county, or city competent authority. The original exporter shall complete the reshipment of imports within the approved deadline given by the special municipality, county, or city competent authority, and said imports may not be refused by relevant organizations. However, the original exporter or those entrusted by the original exporter, if able to obtain documents from a third country that agrees to accept such waste for disposal and has the ability to properly dispose of such waste, may, after receiving approval and the relevant permit documents from the special municipality, county, or city competent authority, transfer the shipment to that third country for disposal. The exporter shall complete the transfer by the deadline approved by the said authority; in those circumstances in which hazardous waste is reshipped, the consent of the central competent authority is required.

Applicants shall submit the following documents in connection with the application for reshipment of import mentioned in the foregoing paragraph:

- I. Application form for cargo import letter of consent.
- II. Documents of notification from the government of the receiving country refusing the waste.
- III. Original copy of export goods declaration form for customs clearance.
- IV. The plan of import reshipment shall include the method of transport, expected date of reshipment, the port of reshipment, the reshipment destination, and detailed information on storage, removal, and disposal methods of waste. The reshipment destination is restricted to the enterprise producing the waste or the waste disposal organization.
- V. Descriptive information on waste generation source and characteristics of

waste. The competent authority may decide if an analytical test report on the waste or the contents of contaminated material is required.

VI. If the applicant is not the original exporter, the applicant shall submit the waste disposal organization permit and the commissioning contract.

VII. Other documents designated by the competent authority.

For the application for the transfer of shipment in Paragraph 1, applicants shall fill out the application form and submit the following documents:

I. Documents of notification from the government of the receiving country refusing the waste.

II. Import approval documents or non-regulated import agreements of the waste is issued by the competent authority of the third country, and written agreements from a disposal organization authorized by the government of the third country agreeing to dispose of the waste.

III. Descriptive information of the waste source and the confirmation of the characteristics of the waste. When necessary, the competent authority may require an analytical test report on the components of the waste or the contaminated material.

IV. Statement of disposal methods from the disposal organization of the third country.

V. Statement of packaging, transport, and disposal methods in the transfer-of-shipment process.

VI. If the applicant is not the original exporter, the applicant shall submit the waste clearance organization permit and the commissioning contract.

VII. Other documents designated by the competent authority.

The deadline for reshipment of imports or transfer of shipment in Paragraph 1 may not exceed 90 days.

Article 18-1 When exported waste that has failed to comply with these Regulations has been seized by the Customs, besides being fined by the relevant organizations pursuant to this Act, the original exporter shall provide the waste clearance location or clearance plan, and after receiving approval from the special municipality, county, or city competent authority, the shutting out and removing the waste from the warehouse shall be processed pursuant to Customs Regulations.

The processing of waste in the foregoing paragraph is limited to be removed and transported to the waste source enterprise, the waste disposal organization or waste clearance organization entrusted by the original exporter of said waste, and shall be disposed of or exported after receiving permission pursuant to this Act.

## **Chapter 4 Transit and Transshipment**

Article 19 For the transit or transshipment of hazardous waste through an open port of the Republic of China, exporters from exporting countries shall apply with the competent authority in the Republic of China to export hazardous waste 60 days before the day of export in accordance with the regulations of this

Chapter, and may do so only after receiving approval.

Exporters from exporting countries shall fill out an application form and submit the following documents in connection with the application in the foregoing paragraph:

- I. An explanation of the source and characteristics of the hazardous waste.
- II. Planned itinerary, transit date, open port of transit, open port of transshipment, transshipment date from port, date leaving border.
- III. Accident and emergency response plan.
- IV. Statement bearing obligation and responsibility for clearance and disposal in the event of refusal of waste or accident
- V. Transshipment contract
- VI. Plan for returning waste
- VII. Proof of financial guarantee or liability insurance required for returning such waste
- VIII. Other documents or information designated by the central competent authority

When applying for transit of hazardous waste, applicants may forego attaching the documents listed in Subparagraphs 5 through 7 of the foregoing paragraph.

Article 20 For the transit or transshipment of hazardous waste mentioned in the foregoing article, when the competent authority of the exporting country issues a notification in accordance with the regulations of said country, then those regulations shall be followed.

Article 21 For the transit or transshipment of hazardous waste, the shipping agent shall bear approval documents from the competent authority of the Republic of China on-ship, and 3 days before the ship transporting the hazardous waste reaches the borders of the Republic of China, shall notify the open port management agency of the name of the ship, the voyage number, and the transit and transshipment manifests or the container layout plan, and send a copy to the customs office of the open port.

With the transshipment of hazardous waste as mentioned in the foregoing paragraph, the shipping agent shall obtain the approval of the management agency of the open port when temporarily placing such waste in port zones, and notify the customs office at the open port.

Article 22 After the central competent authority approves the application for transit or transshipment of hazardous waste, the relevant management agency of the open port shall be sent a copy of the approval.

Article 23 The central competent authority may, due to special considerations in the domestic environment, cancel original transit or transshipment approval documents.

## **Chapter 5 Supplementary Provisions**

Article 24 When importing or exporting waste in accordance with these Regulations, transportation between storage areas used by enterprises or importers and

exporters and ports, container yards, or airports shall be conducted by the importer or exporter on the basis of the following methods:

- I. Transport using vehicles owned by the importer or exporter.
- II. Transport by leasing vehicles from a legal carrier.
- III. Transport by a commissioned waste clearance organization.

Those that choose Subparagraph 2 in the foregoing paragraph shall, when submitting an application, attach copies of relevant licenses from said legal carrier and state the license plate numbers of vehicles for possible use, and shall dispatch personnel for supervision and management during transportation of waste.

Article 25 The format of all relevant document formats and review procedures designated in these Regulations shall be determined by the central competent authority.

Article 26 The central and local competent authority shall each respectively have a period of 20 working days for review of waste permits in these Regulations. However, these periods may be extended by 15 working days when necessary. The central and local competent authority may seek clarification by the following methods if it has questions during review or there is need for supervision and management in accordance with these Regulations:

- I. Invite experts to a meeting for discussion.
- II. Request written or on-site verification from relevant national competent authorities or importers/exporters.

The review period determined in Paragraph 1 shall not include the following periods:

- I. Period during which applicant is making corrections.
- II. Period during which verification is requested from relevant national competent authorities or importers/exporters.
- III. Other periods not attributable to the competent authority that may be subtracted.

Article 27 When a relevant application form must be submitted pursuant to these Regulations, the competent authority may reject the application if one-half or more of the documents contain errors or omit information. If errors and omissions are found in less than one-half of the documents, the competent authority shall notify the applicant to make corrections within a limited period; if the applicant fails to make corrections, or if an item still contains errors or omissions after two corrections, the competent authority may accordingly reject the application.

The correction deadline in the foregoing paragraph may not exceed 30 days; in special circumstances, an applicant may submit a written request for an extension of the correction deadline by no more than 30 days.

Article 28 In those circumstances in which attached documents designated in these Regulations are from foreign countries, notarization shall be obtained from a notary agency or organization in the local country, and certification obtained from a Republic of China foreign embassy, consulate, or representative office,

or other organization authorized by the Ministry of Foreign Affairs. If attached documents are in a foreign language, a Chinese translation certified by a Republic of China foreign embassy, consulate, or representative office, or other organization authorized by the Ministry of Foreign Affairs, or a domestic notary public shall be attached.

The notarized content of a document from a foreign country in the foregoing paragraph shall be divided into two categories:

- I. Private documents: the notary public or organization shall notarize and certify the authenticity of these documents.
- II. Public documents: the notary public or notary organization shall notarize and certify the authenticity of these public documents. If a notary public or notary organization in a local country has difficulties conducting the relevant verification, the concerned party may submit a sworn affidavit concerning the authenticity of the document, and obtain notarization of the affidavit by a notary public.

When written copies of foreign documents in Paragraph 1 have already been sent to the central competent authority in the Republic of China at the time consent documents are issued by the receiving or exporting country, notarization (certification) shall not be required within the effective period of such documents.

Article 29 Those having applied for and received permission in accordance with these Regulations shall perform waste import or export in accordance with the content of its approved application and permit document, and may not engage in any unauthorized matters.

In those circumstances in which a public or private waste clearance and disposal organization, after having received an export permit, adds a new commissioned enterprise for the permitted waste type to be expected, within the scope of 30% of the originally permitted export quantity, the applicant shall attach clearance and disposal contract documents and a waste return acceptance guarantee from the enterprise in application to the special municipality, county, or city competent authority for inclusion of the additional amount of exports within the amount on the originally-approved permit document; permission must be obtained before export may take place.

Article 30 The competent authority may revoke any originally-issued import, export, reshipment of import, or transshipment permit documents if it ascertains that such documents have been obtained through application using falsified documents.

The falsified documents in the foregoing paragraph refer to the documents required in application for the permit documents in the foregoing paragraph, necessary notarization or certification documents or seals, and Chinese translations deviating from the original meaning of the foreign document in question.

Article 31 The competent authority may cancel or revoke an issued import or export

permit document when any of the following situations applies:

- I. The holder has lent the permit document to another party.
- II. The imported or exported cargo are not consistent with the content listed in the permit document.
- III. The import, export, storage, or disposal of waste was not handled in accordance with the contents of the application form, thereby causing pollution to the environment.
- IV. Where work or business is suspended as punishment.
- V. The permit for waste clearance, disposal, or cleaning of the organizations is revoked or canceled.
- VI. The laws and regulations of the receiving or exporting country have changed so as to render an originally approved importing or exporting enterprise or waste type ineligible for import or export.
- VII. Other regulations designated by the competent authority.

Article 32 The special municipality, county, or city competent authority issuing the permit documents shall clarify any questions occurring during customs clearance concerning waste for which import or export permit documents have been applied for in accordance with these Regulations.

The central competent authority shall make determinations when there are doubts concerning the category of waste for customs clearance of cargo as a result of failure to process the import or export permit application procedure in accordance with these Regulations.

Article 33 Permits for the import, export, transit, and transshipment of waste between the Taiwan and Mainland China regions may be handled according to these Regulations when appropriate.

Article 34 The transport methods of applicants that have received permission for import, export, transit or transshipment in accordance with these Regulations shall comply with international conventions, and all packaging and labeling shall comply with international shipping management regulations.

Article 35 Regarding those countries that have signed bilateral, multilateral, or regional agreements with the Republic of China in accordance with the Basel Convention, the import and export of waste by both parties shall be conducted preferentially in accordance with the contents of the bilateral, multilateral, or regional agreement.

Any matters not prescribed in such a bilateral, multilateral, or regional agreement shall be handled in accordance with these Regulations.

Article 36 The import, export, transit, transshipment, and the selection of air transport of waste may be conducted according to these Regulations.

Article 37 An application shall be made to the special municipality, county, or city competent authority using the special case method for a permit by the enterprise, waste disposal organization, equipment manufacturers, academic institutions, or research institutions when those engaging in studies or research and development in academic or technical fields have the necessity of importing or exporting industrial waste samples. For those cases of hazardous industrial waste, one shall first receive permission from the central competent

authority.

The following documents shall be submitted in connection with the import application in the foregoing paragraph:

- I. Cargo import letter of consent application form.
- II. For hazardous waste, documentation approving the export of hazardous waste submitted by the competent authority of the exporting country or verification documents on exports not subject to controls.
- III. Verification documents of the factory registration for the enterprise, verification permits for waste clearance organizations, verification documents for commercial registration, accreditation certificate for academic groups, and legal registration certificate.
- IV. Descriptive information of the source and the confirmation of the characteristics of the waste. When necessary, the competent authority may require an analytical test report on the waste.
- V. Transportation process from the exporting country to the Republic of China.
- VI. Description of the disposal methods of the domestic organizations.
- VII. Import plan for the special case of waste:
  - A. The purpose of study or research and development.
  - B. Special reason for having to apply for the special case.
  - C. Description of study or research and development methods.
  - D. The final disposal method and flow of the waste and derivative waste after the study or research and development.
  - E. Documents of the cooperation contract.
- VIII. Affidavit (See Appendix 1).
- IX. Other documents designated by the central competent authority.

The following documents shall be submitted in connection with the export application in Paragraph 1:

- I. Cargo export letter of consent application form.
- II. For hazardous waste, consent documentation approving the import of hazardous waste submitted by the competent authority of the receiving country or verification documents on imports not subject to controls.
- III. Verification documents for company or commercial registration or the filing proof of the receiver.
- IV. Descriptive information of the source and the confirmation of the characteristics of the waste. When necessary, the competent authority may require an analytical test report on the waste.
- V. Transportation process from the Republic of China to the receiving country.
- VI. Description of the disposal methods of the organizations in the receiving country.
- VII. Export plan for the special case of waste:
  - A. The purpose of study or research and development.



- B. Special reason for having to apply for the special case.
- C. Description of study or research and development methods.
- D. The final disposal method and flow of the waste and derivative waste after the study or research and development.

VIII. Affidavit (See Appendix 1).

IX. Other documents designated by the central competent authority.

Article 38

(Deleted)

Article 39

These Regulations shall take effect on the date of promulgation.

Appendix 1

## Affidavit for Permit Application of Industrial Waste Import and Export

I. According to the “Management Regulations for the Import and Export of Industrial Waste,” I, hereby, apply for the following permits:

- |  |   |
|--|---|
| <input type="checkbox"/> Import of Hazardous Waste   | <input type="checkbox"/> Export of Hazardous Waste          |
| <input type="checkbox"/> Import of General Industrial Waste                                | <input type="checkbox"/> Export of General Industrial Waste |
| <input type="checkbox"/> Special Case Application for Import of Hazardous Industrial Waste |   |
| <input type="checkbox"/> Special Case Application for Export of Hazardous Industrial Waste |   |
| <input type="checkbox"/> Special Case Application for Import of General Industrial Waste   |   |
| <input type="checkbox"/> Special Case Application for Export of General Industrial Waste   |   |

II. Content of Applied Permission:

- A. Types of Waste: \_\_\_\_\_ .
- B. Amount: \_\_\_\_\_ metric tons.
- C. Country (Region): \_\_\_\_\_ .
- D. Time Period: \_\_\_\_\_ .

III. Affidavit Matters:

- A. The content of the documents attached with the application case should be true, for those providing false information, they are willing to take related legal responsibility.
- B. After permission is granted for the application, the company will indeed comply with the Waste Disposal Act and other relevant regulatory requirements and properly handle the business of importing and exporting waste. We are willing to be punished if any offenses are committed. For those whose compulsory gained benefit exceeds the maximum statutory amount of fine, thus violating the administrative law, the administrative agency may decide and take action to increase the fine to the extent appropriate within the scope of the benefit gained, regardless of the statutory limitation maximum fine.

The Undersigned: \_\_\_\_\_ (Seal or Stamp of Person in Charge)

Company Name: \_\_\_\_\_ (Seal or Stamp of Company)

Company Address: \_\_\_\_\_

Regards,

○○○County (City) Government

Date: (YYYY/MM/DD)